## Volume 4: Potential Ground and Surface Water Impacts

# **Chapter 3:** The Effect of Ethanol on BTEX Biodegradation and Natural Attenuation

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# 3. The Effect of Ethanol on BTEX Biodegradation and Natural Attenuation

#### 3.1. Introduction and Objectives

#### 3.1.1. Problem Statement

The use of ethanol as a gasoline additive is increasing worldwide, both as a substitute fuel for imported oil and as an oxygenate to minimize air pollution from combustion. In Brazil, for example, approximately one-half of all automobiles run on gasoline containing 22% ethanol, with the remainder operating on hydrated ethanol (Petrobrás, 1995). In the United States, gasoline containing 10% ethanol is already available in many states. A recent effort by some members of the United States House of Representatives to repeal the 5.4-cents/gal tax subsidy for gasoline with ethanol earlier than its original (year 2000) end date was defeated. Instead, the tax subsidy was extended (*Chemical Market Reporter*, 1998). In addition, ongoing advances in biotechnology will continue to lower ethanol production costs (Lugar and Woolsey, 1999; Carver, 1996).

Given the increasing financial and political incentives for expanding its use as an automotive fuel oxygenate, ethanol appears likely to be encountered more frequently in groundwater plumes containing the fuel constituents, benzene, toluene, ethyl benzene, and xylene (BTEX). Consequently, a comprehensive understanding of the effects of ethanol on the fate and transport of BTEX compounds is needed to determine if the economic and air-quality benefits of adding ethanol to gasoline outweigh its potential detrimental effects on groundwater quality, the environment, and human health.

#### 3.1.2. General Scope and Purpose of This Literature Review

The proposed replacement of the gasoline oxygenate, methyl tertiary butyl ether (MTBE), with ethanol represents potential economic and environmental quality benefits. However, these benefits may be offset to some extent by potential detrimental effects on groundwater quality and natural attenuation of released petroleum products. The objectives of this literature review are to bound the extent to which these impacts may occur, summarize the available information on the biodegradation of ethanol in the environment, assess the potential effect that biodegradation processes may have on the fate and transport of BTEX compounds, and provide recommendations for research to enhance related risk assessment and management decisions.

This literature review characterizes potential environmental impacts associated with a possible widespread replacement of MTBE with ethanol as a gasoline oxygenate by:

- Summarizing and critically analyzing the available information on the fate of ethanol in the environment.
- Assessing the potential environmental impacts associated with ethanol releases.
- Evaluating their potential effect on natural attenuation of BTEX compounds.

Section 3.2 provides a general review of subsurface requirements for biodegradation of organic pollutants, and Section 3.3 summarizes available information on ethanol biodegradation pathways and kinetics under aerobic and anaerobic conditions. The potential effects of ethanol on cellular and environmental processes that affect the rate and extent of BTEX biodegradation are reviewed in Section 3.4.

## 3.2. Requirements for Biodegradation of Organic Pollutants

Bioremediation, which involves the use of indigenous microorganisms (or the catalysts that they produce) to degrade the target pollutants within the aquifer, is receiving increasing attention due to its potential cost effectiveness. Advantages of bioremediation include potential savings in the duration and cost of cleanup operations, minimum land and environmental disturbance, and elimination of liability from transportation and disposal of hazardous wastes (Lee *et al.*, 1988). In addition, bioremediation has gained considerable public acceptance because it is environmentally sound and because it ultimately transforms the target pollutants into harmless products, such as carbon dioxide and water.

The common approach to engineered *in situ* bioremediation is to provide environmental conditions that overcome limitations and foster natural degradative processes. For example, fertilizers and oxygen can be injected into gasoline-contaminated aquifers to add limiting nutrients and electron acceptors. In some cases, however, natural conditions at contaminated sites meet all the essential environmental requirements so that bioremediation can occur at high rates without human interference. This approach is called intrinsic bioremediation, and it differs from no-action alternatives in that it requires thorough documentation of the role of microorganisms in eliminating the target contaminants at a sufficiently high rate to provide adequate risk protection.

Resource-allocation problems have motivated a recent paradigm shift in the United States towards risk-based corrective action and intrinsic bioremediation. It should be emphasized, however, that this approach is not a panacea that is applicable to all situations (National Research Council, 1993). For intrinsic bioremediation to be effective, the biodegradation rate of a given pollutant in the subsurface should be fast relative to its rate of introduction and migration to ensure plume stabilization and mitigation. Otherwise, the plume will expand and potentially reach groundwater users (Corseuil and Alvarez, 1996). These relative rates depend on the type and the concentration of the contaminants, the indigenous microbial community, and the subsurface hydrogeochemical conditions. As discussed below, extensive biodegradation of gasoline pollutants requires the fulfillment of several conditions.

## **3.2.1.** Occurrence of Microorganisms with Potential to Degrade the Target Compounds

Organic pollutants will be degraded to an appreciable extent only if microorganisms exist that can catalyze their conversion to a product that is an intermediate or a substrate to common metabolic pathways. Only a few central metabolic pathways exist; and some structural features in organic compounds called "xenophores" (for example, chlorine, nitrogen dioxide, cyanide, and sulfur trioxide [Cl, NO<sub>2</sub>, CN, and SO<sub>3</sub>]) can make a molecule difficult to be recognized by

these pathways (Alexander, 1994). Thus, such xenobiotics tend to be recalcitrant to microbial degradation. Nevertheless, ethanol and BTEX compounds have a natural origin and have been in contact with microorganisms throughout evolutionary periods (Dagley, 1984). Thus, it is not surprising that many microorganisms have developed mechanisms to feed on these compounds and utilize them as fuel molecules to obtain energy and building blocks for the synthesis of new cell material.

The ability of microorganisms to utilize BTEX compounds as sole carbon sources has been established since 1908, when Stormer isolated the bacterium *Bacillus hexavarbovorum* by virtue of its ability to grow with toluene and xylene aerobically (Gibson and Subramanian, 1984). The ubiquitous distribution of soil bacteria capable of metabolizing aromatic compounds under aerobic conditions was demonstrated in 1928 by Gray and Thornton, who reported that 146 out of 245 uncontaminated soil samples contained bacteria capable of metabolizing naphthalene, phenol, or cresol (Gibson and Subramanian, 1984). Many bacterial pure cultures have been reported to grow aerobically on BTEX compounds as sole carbon sources, including the following genera: *Pseudomonas, Burkholderia, Arthrobacter, Alcaligenes, Corynebacterium, Flavobacterium, Norcardia, Achromobacter, Micrococcus*, and *Mycobacterium* (Atlas, 1984; Bayly and Barbour, 1984; Brown, 1989; Button and Robertson, 1986; Gibson and Subramanian, 1984; Kukor and Olsen, 1989; Oldenhuis *et al.*, 1989; Schraa *et al.*, 1987; Shields *et al.*, 1989).

BTEX compounds can also be degraded in the absence of molecular oxygen, with toluene being the most commonly reported BTEX compound to degrade under anaerobic conditions (Alvarez and Vogel, 1995; Anderson *et al.*, 1998; Beller and Spormann, 1997; Edwards and Grbic-Galic, 1992; Heider and Fuchs, 1997; Heider *et al.*, 1999; Hutchins *et al.*, 1991; Meckenstock, 1999; Phelps and Young, 1999; Zeyer *et al.*, 1990). Benzene, which is the most toxic of the BTEX compounds, is relatively difficult to degrade under anaerobic conditions. There are reports of benzene mineralization under iron-reducing (Lovley *et al.*, 1996; Rooney-Varga *et al.*, 1999), sulfate-reducing (Edwards and Grbic-Galic, 1992; Phelps *et al.*, 1998), nitrate-reducing (Burland and Edwards, 1999), and methanogenic conditions (Grbic-Galic and Vogel, 1987; Weiner and Lovley, 1998b), with acclimation periods often exceeding one year (Kazumi *et al.*, 1997). Nevertheless, research suggests that even with the appropriate environmental conditions, anaerobic benzene degradation will not occur in some contaminated aquifer sediments due the absence of microorganisms capable of performing the degradation (Anderson *et al.*, 1998; Weiner and Lovley, 1998a).

The high biodegradability of ethanol is well established in the literature. Short-chain alcohols, such as ethanol, can be easily degraded under both aerobic and anaerobic conditions by microbial enzymes associated with central microbial metabolic pathways (Chapelle, 1993; Hunt *et al.*, 1997a; Hunt *et al.*, 1997b; Madigan *et al.*, 1997). In addition, ethanol is highly bioavailable to microorganisms in aquifer material because of its miscibility with water. Thus, a wide distribution of ethanol-degraders in the environment can be expected.

#### 3.2.2. Bioavailability of Target Pollutants

A common limitation of natural degradative processes is the lack of adequate contact between pollutants and microorganisms. The availability of many target pollutants to microorganisms can be affected by a series of ill-defined, often uncharacterized processes (Alexander, 1994). In a physicochemical context, adsorption of a compound or complexation

onto aquifer solid surfaces, sequestration in soil nanopores, and partitioning into nonaqueous phase liquids (NAPLs) are common mechanisms that reduce contaminant bioavailability. In such cases, the rate of biodegradation can be controlled by the rate desorption or dissolution (Alexander, 1994). If biodegradation is mediated by extracellular enzymes, the bonds requiring cleavage must be exposed and not occluded by sorption to solid surfaces, or sterically blocked by large atoms, such as chlorine. Most priority pollutants, however, are degraded by intracellular enzymes. Therefore, bioavailability also implies the ability of the pollutant to pass through the cellular membrane. In regards to ethanol and BTEX compounds, all of these bioavailability requirements are generally met easily because of their relatively high aqueous solubility. However, when (hydrophobic) polycyclic aromatic hydrocarbons (PAHs) are a contaminant of concern, the characteristically high sorption to soil with PAHs makes bioavailability a significant factor limiting the success of bioremediation.

#### 3.2.3. Induction of Appropriate Degradative Enzymes

The induction of degradative enzymes involves the activation of specific regions of the bacterial genome. Some enzymes, such as those participating in central metabolic pathways, are always produced (at some level) regardless of environmental conditions. These are known as constitutive enzymes. The enzymes that initiate BTEX degradation, however, are generally inducible. Such enzymes are only produced when an inducer (for example, toluene) is present at a higher concentration than the minimum threshold for induction (Linkfield *et al.*, 1989). In general, this threshold is very low, on the order of a few micrograms per liter (Robertson and Button, 1987). Toluene is generally a good inducer of oxygenase enzymes with relaxed specificity, and its presence has been reported to enhance the degradation of other BTEX compounds (Arvin *et al.*, 1989; Alvarez and Vogel 1991, 1995; Chang *et al.*, 1993; Gülensoy and Alvarez, 1999). On the other hand, the presence of easily degradable substrates, such as ethanol, could repress the production of BTEX-degrading enzymes and result in the preferential degradation of the ethanol (Corseuil *et al.*, 1998; Duetz *et al.*, 1994).

It should be pointed out that enzyme induction could be hindered by a lack of bioavailability. Specifically, the presence of a compound in a NAPL or its sequestration in nanopores might result in subthreshold concentrations in the aqueous phase that are insufficient to trigger enzyme induction and/or sustain a viable microbial population.

### **3.2.4.** Environmental Conditions Conducive to Microbiological Growth

Recalcitrance to biodegradation of a given compound is a consequence not only of chemical structure and physiological limitations but also of environmental properties. To function properly, microorganisms need "recognizable" substrate(s) that serve as energy and carbon source(s) (for example, the target organic pollutants) and favorable environmental conditions to sustain life functions, including nutrients, pH, temperature, and moisture.

#### 3.2.4.1. Availability of Electron Acceptors

Ethanol and BTEX compounds are in a reduced state, and their oxidation is thermodynamically favorable. Oxidative biodegradation requires the presence of electron acceptors that microbes use during the "respiration" of the electrons removed from

biodegradable contaminants. This transfer of electrons releases energy to drive microbial life functions. Under aerobic conditions, molecular oxygen is utilized for this purpose. Under anaerobic conditions, nitrate, sulfate, manganese (iv) ferric iron, sulfate, and carbon dioxide can serve as electron acceptors. Often, a sequential utilization of electron acceptors is observed in contaminated sites, in preferential order of oxidation potential (Figure 3-1).

The most energetically favored mechanism by which microorganisms oxidize organic compounds is aerobic metabolism. Therefore, oxygen is preferentially utilized over anaerobic electron acceptors because this yields more energy to the microbial community and results in faster contaminant oxidation rates. In intrinsic BTEX bioremediation, the rate-limiting attenuation mechanism is frequently the influx of oxygen, which, in turn, limits aerobic BTEX degradation kinetics (National Research Council, 1993).

The redox potential in subsurface environments is highly site-dependent. Oxygen is usually present in and around groundwater recharge areas as a result of infiltrating rainwater. Nevertheless, the available oxygen within the contaminant plume is often exceeded by the biochemical oxygen demand exerted by the contaminants, and anaerobic conditions often develop in highly contaminated areas. The depletion of oxygen results in much slower BTEX degradation rates and sometimes in the persistence of benzene, the most toxic of the BTEX compounds (Alvarez and Vogel, 1995; Anderson *et al.*, 1998).

#### 3.2.4.2. Availability of Inorganic Nutrients

Microorganisms need macronutrients to synthesize cellular components, such as nitrogen for amino acids and enzymes, phosphorus for ATP and DNA, sulfur for some coenzymes, calcium for stabilizing the cell wall, and magnesium for stabilizing ribosomes. In general, however, microbial growth in subsoils is not limited by nitrogen and phosphorus as long as the contaminant concentrations are in the sub-part-per-million (mg/L) range (Tiedje, 1993). A carbon:nitrogen:phosphorus ratio of 30:5:1 is generally sufficient to ensure unrestricted growth in aquifers (Paul and Clark, 1989). Microbes also need micronutrients to perform certain metabolic functions. For example, trace metals, such as iron, nickel, cobalt, molybdenum, and zinc, are needed for some enzymatic activities. Nevertheless, geochemical analyses and laboratory biodegradation assays should be performed to verify that the presence of inorganic nutrients is sufficient for the success of natural bioremediation.

#### 3.2.4.3. Buffering Capacity

Most microorganisms grow best in a relatively narrow range of pH around neutrality (6 to 8). Enzymes are polymers of amino acids, and their activity requires the proper degree of amino acid protonation. This is controlled by pH. The optimum groundwater pH is usually near neutral (pH 7), but most aquifer microorganisms can perform well between pH values of 5 and 9. This range generally reflects the buffering capacity of the carbonate or silicate minerals present in aquifers (Chapelle, 1993; King *et al.*, 1992). Groundwater is typically well buffered within this range, so that microbial pH requirements are generally met in aquifers (Chapelle, 1993). Nevertheless, aquifers contaminated by municipal landfill leachates may contain elevated concentrations of volatile fatty acids (VFAs) (for example, acetic acid) resulting in pH values as low as 3. In these cases, acidity may suppress microbial activity. As discussed later in this review, the potential

accumulation of VFAs during anaerobic degradation of ethanol is a potential mechanism that could decrease the pH below the optimum range of common bacteria that degrade BTEX.

#### 3.2.2.4. Temperature

Temperature is one of the most important environmental factors influencing the activity and survival of microorganisms. Microbial metabolism accelerates with increasing temperatures up to an optimum value at which growth is maximal. Most of the bacteria present in subsurface environments operate most effectively at 20° to 40°C, which is a little higher than typical groundwater temperatures in the United States (Chapelle, 1993). Low temperatures reduce the fluidity and permeability of the cellular membrane, which hinders nutrient (and contaminant) uptake. Higher temperatures are associated with higher enzymatic activity and faster biodegradation rates, up to an optimum value that is species specific. BTEX degradation rates can double or triple due to a temperature increase of 10°C (Corseuil and Weber, 1994). If the temperature rises much beyond the optimum value, proteins, enzymes, and nucleic acids become denatured and inactive. The temperature of the upper 10 m of the subsurface may vary seasonally; however, between 10 and 100 m subsurface temperatures approximate the mean annual air temperature of a particular region (Lee *et al.*, 1988).

#### 3.2.4.5. Absence of Inhibitory Substances

It is possible for aquifer microorganisms to encounter potentially toxic heavy metals, such as lead, mercury, cadmium, and chromium. While aquifer microorganisms require heavy metals in trace quantities for nutritional purposes, heavy metals can be bacteriostatic or bactericidal if they are present in soluble form at concentrations greater than about 1 mg/L. High pollutant concentrations can also have toxic effects, such as gross physical disruption (for example, membrane dissolution) or competitive binding of critical enzymes (Alexander, 1994). In addition, the presence of easily degradable substrates that are preferentially utilized commonly hinders the degradation of the target contaminants.

#### 3.2.4.6. Other Environmental Factors

While moisture is not a limiting factor in the saturated zone, it can be an important factor in the vadose zone. A moisture content of about 80% of soil field capacity, or 15% water  $(H_2O)$  on a weight basis, is optimum for vadose zone remediation (English and Loehr, 1991). Inadequate moisture (less than 40%) can significantly reduce biodegradation rates. High salinity can also exert osmotic stress on microorganisms, which would hinder biodegradative processes.

#### 3.3. Biodegradation of Ethanol

One of the most undesirable aspects of microbial degradation of organic pollutants is the potential formation of toxic metabolites. A large number of nontoxic chemicals can be converted to products that may be harmful to humans, animals, plants, or microorganisms. This process is a major reason to study the pathways and products of breakdown of organic molecules. This section summarizes the diversity of aerobic and anaerobic transformation pathways for ethanol. Emphasis was placed on addressing the potential accumulation of metabolites that may have adverse impacts to water quality, or that may hinder intrinsic

bioremediation of BTEX compounds. The kinetics of ethanol biodegradation under aerobic and anaerobic conditions are also discussed here.

#### 3.3.1. Ethanol Degradation Pathways

#### 3.3.1.1. Aerobic Degradation

Most common aerobic bacteria can mineralize ethanol through Krebs cycle. In this process, ethanol is first oxidized to acetaldehyde by an alcohol dehydrogenase enzyme. Acetaldehyde is converted to acetyl-CoA either directly by an acetylating acetaldehyde dehydrogenase or through acetate by an acetaldehyde dehydrogenase and an acetate-CoA ligase. The acetyl-CoA is oxidized to carbon dioxide (CO<sub>2</sub>,) in Krebs cycle (Figure 3-2). As shown in Figure 3-3, many bacteria are also capable of operating a modified Krebs cycle, known as the glyoxylate shunt. This shunt enables bacteria to grow on compounds with two carbon atoms (C2-compounds), such as acetate, by facilitating the synthesis of C4-building blocks, such as malate and oxaloacetate (Madigan *et al.*, 1997).

None of the intermediates in these common metabolic pathways is toxic. In addition, because these intermediates are metabolized rapidly intracellularly and are rarely excreted in significant amounts, their accumulation in groundwater is highly unlikely. One exception, however, is the acetic acid bacteria which excrete acetate (Gottschalk, 1986, Xia *et al.*, 1999).

Acetic acid bacteria excrete acetate because they lack the necessary enzymes to rapidly metabolize it. For example, *Gluconobacter* cannot oxidize the activated form of acetate (that is, acetyl-CoA) in Krebs cycle because it lacks a key enzyme, succinate dehydrogenase (Gottschalk, 1986). *Acetobacter* species can operate the Krebs cycle but still produce large amounts of acetic acid in the presence of ethanol (Gottschalk, 1986). These bacteria are unlikely to significantly contribute acidity to ethanol-contaminated groundwater, however, because they are obligate aerobes that typically live on the surfaces of plants and fruits (Gottschalk, 1986). Therefore, they are unlikely to thrive in aquifers contaminated with gasoline-ethanol mixtures, where the high biochemical oxygen demand often depletes available oxygen.

#### 3.3.1.2. Anaerobic Pathways

**3.3.1.2.1. Anaerobic Food Chain.** Microorganisms that can ferment ethanol are ubiquitous (Eichler and Schink, 1984; Wu and Hickey, 1996). Ethanol is a common intermediate in the anaerobic food chain, where labile organic matter is degraded to nontoxic products—such as acetate, CO<sub>2</sub>, methane (CH<sub>4</sub>), and hydrogen gas (H<sub>2</sub>)—by the combined action of several different types of bacteria (White, 1995). As illustrated in Figure 3-4, the anaerobic food chain consists of three stages. In the first stage, fermenters produce simple organic acids, alcohols, hydrogen gas, and carbon dioxide. Other members of the consortium, such as sulfate reducers and organisms that use water-derived protons as the major or sole electron sink, oxidize these fermentation products in the second stage to acetate, hydrogen gas, and carbon dioxide. Organisms that use water-derived protons include the obligate, proton-reducing acetogens, which oxidize butyrate, propionate, ethanol, and other compounds to acetate, hydrogen gas, and carbon dioxide. Acetate can also be produced by homoacetogens, which are bacteria that utilize carbon dioxide and hydrogen gas for this purpose (Madigan *et al.*, 1997). In the third stage, mineralization occurs when acetoclastic methanogens, break down acetate into carbon dioxide

and methane. Some sulfate reducers and other anaerobic microorganisms can also mineralize acetate and participate in the third and final stabilization stage (Atlas and Bartha, 1997).

Interspecies hydrogen transfer is a critical link in the anaerobic food chain. Hydrogen-producing fermentative and acetogenic bacteria are at a thermodynamic disadvantage if hydrogen accumulates (Conrad *et al.*, 1985; Wolin and Miller, 1982). For example, the fermentation of ethanol to acetate and propionate by *Desulfobulbus* is strongly inhibited by high hydrogen concentrations (Schink *et al.*, 1987; Wu and Hickey, 1996). Therefore, fermenters and acetogens live syntrophically with hydrogen consumers that keep the hydrogen gas levels low (Figure 3-5).

When sulfate is not limiting, sulfate reducers compete favorably for hydrogen gas and predominate over methanogens (Phelps *et al.*, 1985). Incomplete oxidizers (a.k.a. Type I sulfate reducers) can oxidize ethanol, lactate, and other organic acids to acetate, while complete oxidizers (a.k.a. Type II sulfate reducers) can use either a carbon monoxide dehydrogenase pathway or a modified Krebs cycle to oxidize acetate further to carbon dioxide (Madigan *et al.*, 1997; Postgate and Campbell, 1966; Thauer *et al.*, 1989; Wu and Hickey, 1996).

Fermentative microorganisms can also transform ethanol by condensation reactions to form propionate (Braun *et al.*, 1981; Wu and Hickey, 1996) or butyrate (Bornstein and Barker, 1948). Although these compounds are not toxic, they could adversely affect groundwater quality by impacting its taste and odor. Examples of such condensation transformations are given below.

**3.3.1.2.2.** *Pelobacter propionicus* **Ethanol Metabolism.** Propionate-forming bacteria are believed to contribute significantly to the anaerobic degradation of ethanol (Wu and Hickey, 1996). *Pelobacter propionicus* can produce propionate and acetate from its metabolism of ethanol. If sulfate is present, *P. propionicus* will oxidize ethanol to acetate, using sulfate as the terminal electron acceptor. If sulfate is not present, ethanol will be condensed with bicarbonate to form just propionate if hydrogen is available. With insufficient hydrogen, both propionate and acetate will be formed. Ethanol utilization by *P. propionicus* is diagrammed in Figure 3-6. The different stoichiometric balances for ethanol utilization are:

```
CH_{3}CH_{2}OH + HCO_{3}^{-} + H_{2} \qquad CH_{3}CH_{2}COO^{-} + 2 H_{2}O
3 CH_{3}CH_{2}OH + 2 HCO_{3}^{-} \qquad CH_{3}COO^{-} + 2 CH_{3}CH_{2}COO^{-} + H^{+} + 3 H_{2}O
2 CH_{3}CH_{2}OH + SO_{4}^{-2} \qquad 2 CH_{3}COO^{-} + HS^{-} + H^{+} + 2 H_{2}O
that is,
ethanol + HCO_{3}^{-} + H_{2} \qquad propionate^{-} + 2 H_{2}O
3 ethanol + 2 HCO_{3}^{-} \qquad acetate^{-} + 2 propionate^{-} + H^{+} + 3 H_{2}O
2 ethanol + SO_{4}^{-2} \qquad 2 acetate^{-} + HS^{-} + H^{+} + 2 H_{2}O
```

**3.3.1.2.3.** Clostridium kluyveri Fermentation. Clostridium kluyveri produces butyrate, caproate, and hydrogen from ethanol and acetate. This strain cannot ferment ethanol alone but can replace acetate with propionate as a cosubstrate for the condensation of ethanol. The ratio in

which butyrate and caproate are formed can vary; an increase of the ethanol concentration of the medium favors caproate formation (Figure 3-7) (Gottschalk, 1986).

A typical fermentation balance is for this pathway is:

$$6 \text{ CH}_3 \text{CH}_2 \text{OH} + 3 \text{ CH}_3 \text{COO}^-$$

$$3 \text{ CH}_{3}\text{CH}_{2}\text{CH}_{2}\text{COO}^{-} + \text{CH}_{3}\text{CH}_{2}\text{CH}_{2}\text{CH}_{2}\text{COO}^{-} + 2 \text{ H}_{2} + 4 \text{ H}_{2}\text{O} + \text{H}^{+}$$

that is,

6 ethanol + 3 acetate 3 butyrate + 1 caproate + 
$$2 H_2 + 4 H_2O + H^+$$

Thus, approximately 0.3 moles of hydrogen are evolved per mole of ethanol fermented.

### 3.3.2. Summary of Metabolic Intermediates of Importance in Ethanol Degradation

Microbial degradation of ethanol generates a variety of metabolic intermediates and end products (Table 3-1). Oxygen is often quickly depleted by microbial respiration in gasoline-contaminated aquifers (Lee *et al.*, 1988; National Research Council, 1993). Therefore, ethanol is likely to be degraded predominantly under anaerobic conditions; and some anaerobic metabolites are likely to be encountered in contaminated groundwater. None of these metabolites is toxic although some anaerobic metabolites, such as butyrate, could adversely affect the taste and odor of groundwater supplies. In addition, acetate and other VFAs can cause a decrease in pH if they accumulate at high concentrations in poorly buffered systems. It is unknown whether the pH could decrease to a level that inhibits the further degradation of the ethanol. Such effects are likely to be system specific due to variability in buffering and dilution capacity among contaminated sites.

#### 3.3.3. Aerobic and Anaerobic Biodegradation Kinetics

#### 3.3.3.1. General Background

The degradation rate of BTEX and ethanol is often described by a first-order decay regime with respect to the contaminant concentration (C):

$$\frac{dC}{dt} = -\lambda C \tag{3-1}$$

For a batch, completely mixed system, Equation (3-1) can be integrated to yield:

$$\frac{C}{C_{\circ}} = e^{-\lambda t} \tag{3-2}$$

where is the first-order decay coefficient and  $C_o$  is the initial concentration. Equation (3-2) can be rearranged as

$$t = \frac{\ln \frac{C_0}{C}}{\lambda} \tag{3-3}$$

The half-life  $(t_{1/2})$  of the contaminant, which is defined as the time required to reduce its concentration by one-half (that is,  $C_0/C = 2$ ), is given by

$$t_{1/2} = \frac{\ln[2]}{\lambda} \tag{3-4}$$

It should be emphasized that these equations apply only to batch, completely mixed systems, where dilution and advection are not factors that influence contaminant concentrations. Because aquifers are open systems subject to dilution and advection, other approaches that incorporate these processes must be used to determine  $\lambda$  (American Society of Testing and Materials [ASTM], 1998).

The first-order kinetic assumption is often appropriate to describe the kinetics of organic pollutant biodegradation in aquifers. This is mainly due to mass-transfer limitations in porous media as the contaminants diffuse from the bulk liquid to the microorganisms, which are predominantly attached to the aquifer material (Simoni *et al.*, 1999). In addition, a decrease in BTEX concentrations to levels that are below the corresponding Monod half-saturation coefficient ( $K_s$ ) contributes to first-order kinetics (Alvarez *et al.*, 1991). It should be pointed out that when mass transport is not rate limiting,  $\lambda$  can be explained in terms of Monod parameters. Specifically, when the contaminant concentration is relatively low, C in the denominator can be ignored, and the Monod equation reduces to a linear equation:

$$\frac{dC}{dt} = -\frac{kXC}{K_s + C} = -\frac{kX}{K_s} C \quad \text{(when } C << K_s \text{)}$$
 (3-5)

A comparison of Equations (3-1) and (3-5), therefore, reveals that

$$\lambda = \frac{kX}{K_s} \tag{3-6}$$

This theoretical analysis indicates that the value of  $\lambda$  depends on:

- *k* (the maximum specific substrate utilization rate), which, in turn, depends primarily on the prevailing electron-acceptor conditions and on the type of microbe present;
- $K_s$  (the half-saturation coefficient), which is related to enzyme affinity, bioavailability, and mass-transport limitations (Merchuk and Ansejo, 1995); and
- X (the active biomass concentration), which may not be constant and depends on environmental conditions and aquifer chemistry, including available substrates.

Therefore, is not necessarily a constant but a coefficient that can vary in time and space due to microbial population shifts resulting from changes in aquifer chemistry. This can explain the wide range of values that have been observed for benzene at different sites, ranging over orders of magnitude from less than 0.0001 to 0.0870 day<sup>-1</sup> (Alvarez *et al.*, 1991; Aronson and Howard,

1997; Howard, 1991; Rifai *et al.*, 1995). Therefore, for risk-assessment purposes, should not be extrapolated from the literature. Rather, considerable care must be exercised in its determination to avoid overpredicting or underpredicting actual biodegradation rates and plume behavior.

#### 3.3.3.2. Ethanol Degradation Rates in Aquifers

Ethanol can be degraded in both aerobic and anaerobic environments, faster than other gasoline constituents and oxygenates (Chapelle, 1993; Malcolm Pirnie, Inc., 1998). Ethanol (first-order) degradation rate coefficients have been measured in an aquifer microcosm study (Table 3-2) (Corseuil *et al.*, 1998). Only large concentrations (more than 100,000 mg/L) of alcohols are not biodegradable due to their toxicity to most microorganisms (Brusseau, 1993; Hunt *et al.*, 1997a). Such high concentrations could be encountered near the source of neat ethanol releases. However, because the maximum allowable ethanol content in gasoline is 10% by volume in the United States, such high concentrations are unlikely to be encountered at sites contaminated with ethanol-gasoline blends (except near the fuel/water interfaces).

Ethanol concentrations should become exponentially more dilute as the distance from the source increases, but near the source they may inhibit microbial activity. Thus, indigenous microbes located a sufficient distance beyond the source should degrade alcohol plumes. The only fuel-alcohol field-scale studies performed have been with methanol, not ethanol. However, because methanol has properties similar to ethanol, these findings are relevant to biodegradation of ethanol. One field study investigated methanol biodegradation in soils from three different sites under various redox conditions. Methanol concentrations of 1000 mg/L were removed in all soils in less than one year, at pH values of 4.5 to 7.8 and at temperatures of 10° to 11°C (Butler *et al.*, 1992). A similar study investigated the persistence and fate of M85 fuel (that is, fuel containing 85% methanol, 15% gasoline) in a shallow, sandy aquifer (Barker *et al.*, 1998). All of the methanol (approximately 2400 L resulting in an initial concentration of 7000 mg/L) was biodegraded below 1 mg/L in 476 days, yielding a methanol half-life of about 40 days. Because of the similar properties of methanol and ethanol, the biodegradation of ethanol is also expected to be relatively fast.

While there are no known field-scale studies of the fate and transport of ethanol, a few laboratory studies have focused on ethanol biodegradation. Suflita and Mormile (1993) measured acclimation periods (periods before degradation proceeded) and zero-order biodegradation rates of ethanol and other fuel oxygenates in anaerobic aquifer slurries. For an initial ethanol concentrations of 50 mg/L, they observed an acclimation period of 25 to 30 days and an anaerobic biodegradation rate of  $17.9 \pm 0.6$  mg/L/day. When compared to ethanol, the observed acclimation period for methanol was shorter (five days), but its biodegradation rate was slower (7.4  $\pm$  0.7 mg/L/day). In a subsequent study, these authors determined that their initial results could be extrapolated to other redox conditions. They showed that various short-chain alcohols were easily degraded in different sediments under a range of redox conditions (Mormile *et al.*, 1994).

Corseuil *et al*. (1998) investigated biodegradation of ethanol under various redox conditions in aquifer microcosms at 20-35°C. Table 3-2 summarizes the degradation rates of ethanol (80 to 100 mg/L) in this study. Lower microbial concentrations, colder temperatures, and mass-transfer limitations would likely result in longer degradation times *in situ* than those depicted in

Table 3-3. Nevertheless, it is expected that regardless of the available electron acceptors, ethanol will undergo rapid biodegradation in the subsurface under typical pH, temperature, and nutrient conditions.

#### 3.3.3.3. Surface Water

In surface water bodies, the dominant process responsible for the removal of ethanol is also expected to be biodegradation (Malcolm Pirnie, Inc., 1998). Under aerobic conditions, the reported half-lives of ethanol in surface waters are short. Half-lives span 6.5 to 26 hours for ethanol (Howard, 1991). In moving water bodies, reaeration from the atmosphere generally ensures that oxygen will be available to support aerobic degradation processes; and oxygen is not expected as much of a limiting factor as in groundwater systems. Anaerobic biodegradation in oxygen-limited environments, such as the bottom layers of stratified lakes, is also expected to proceed at rapid rates. Reported half-lives for ethanol biodegradation under anaerobic conditions range from 1 to 4.3 days (Howard, 1991). The nutrient supply in rivers and lakes is generally not expected to restrict the rate of biochemical transformations because the required nutrient supplies are constantly recharged by rainfall (Alexander, 1994).

# 3.4. Potential Effects of Ethanol on BTEX Biodegradation

#### 3.4.1. Direct (Intracellular) Effects

#### 3.4.1.1. Enzyme Induction and Repression

Often, target pollutants are degraded by inducible enzymes whose expression can be repressed when easily degradable substrates are present at high concentrations (Duetz *et al.*, 1994; Monod, 1949). However, only indirect evidence has been presented in the literature about the potential effects of ethanol on the expression of enzymes involved in BTEX degradation.

Hunt *et al.* (1997a) reported that ethanol at 20 mg/L was preferentially degraded under aerobic conditions over benzene, presumably due to repression of the synthesis of enzymes needed to degrade benzene. This retarded the onset of benzene degradation. Additional microcosm studies also suggested that the preferential utilization of ethanol might increase the lag time before *in situ* BTEX biodegradation begins (Corseuil *et al.*, 1998). Specifically, little or no BTEX degradation occurred in aerobic, denitrifying, iron-reducing, sulfate-reducing, and methanogenic microcosms while ethanol was present (Corseuil *et al.*, 1998). Therefore, ethanol may prevent the bacteria subpopulation capable of degrading BTEX from fully expressing its catabolic potential, which would hinder BTEX degradation.

Numerous studies show that carbon-limiting conditions are conducive to simultaneous utilization of multiple substrates (for review, see Egli, 1995). This suggests that simultaneous ethanol and BTEX degradation is likely to occur when these compounds are present at low concentrations (for example, in aquifers with low levels of contamination). Interestingly, a pure culture of *Pseudomonas putida* F1 was reported to simultaneously degrade ethanol and toluene with no apparent inhibitory effect up to 500 mg/L of ethanol (Hunt *et al.*, 1997a). This suggests that while high ethanol concentrations are likely to exert a diauxic effect that would inhibit *in* 

situ BTEX degradation, the metabolic diversity of microorganisms precludes generalizations about the concentration of ethanol that triggers enzyme repression. Such effects are probably species specific.

#### 3.4.1.2. Stimulation of Microbial Growth

Ethanol represents a carbon and energy source that is likely to stimulate the growth of a variety of microbial populations, including species that can degrade BTEX compounds. A proliferation of BTEX degraders would be conducive to faster degradation rates although this positive effect is likely to be offset by the preferential degradation of ethanol and the associated depletion of electron acceptors discussed later in this chapter.

As discussed earlier, ethanol can be degraded by constitutive enzymes associated with central metabolic pathways, and microorganisms that can degrade simple alcohols are more common in nature than microorganisms that degrade BTEX compounds. Therefore, many species that cannot degrade BTEX are likely to proliferate when ethanol is present. In fact, microbial growth is generally faster on ethanol than on BTEX because of more favorable thermodynamics. Using a thermodynamic model by McCarty (1969), the predicted maximum specific growth rate on ethanol is 45% greater than the predicted maximum specific growth rate with benzene (Hunt, 1999). Nevertheless, BTEX degraders are also likely to grow faster on ethanol than on BTEX under a given set of conditions, and the effect of ethanol on the relative abundance of BTEX degraders has not been investigated.

Corseuil *et al.* (1998) pointed out that there may be some exceptions to the detrimental effect of ethanol on BTEX degradation and hypothesized that these exceptions may be related to ethanol-induced microbial population shifts. Specifically, although ethanol was preferentially degraded under all electron-acceptor conditions tested, ethanol enhanced toluene degradation in all three sulfate-reducing microcosms used in this study. The reason for this enhancement was unclear, but the possibility that this enhancement was due to an incidental growth of toluene degraders during ethanol degradation could not be ruled out. This untested hypothesis does not imply that ethanol would select for BTEX degraders, which is highly unlikely. Rather, the concentration of some BTEX degraders could increase after growth on ethanol although their fraction of the total heterotrophic consortium would likely decrease.

In summary, little is known about the effect of ethanol on microbial population shifts and the resulting catabolic diversity. Considering that the efficiency of bioremediation depends, in part, on the presence and expression of appropriate biodegradative capacities, studying the microbial ecology of aquifers contaminated with gasoline-alcohol mixtures might be a fruitful avenue of research.

#### 3.4.1.3. Toxicity of Ethanol

The toxicity of alcohols to microorganisms has received considerable attention in the literature although only a few studies have evaluated the effect of ethanol on subsurface microbial populations. Hunt *et al.* (1997a) reported that ethanol concentrations in microcosm experiments higher than 40,000 mg/L (4% weight/weight [w/w]) were toxic to the microorganisms, as shown by complete lack of oxygen consumption. Other studies have found that some soil microbial activity can occur at 100,000-mg/L (10% w/w) ethanol, but not at 200,000 mg/L (21% w/w) (21% because at high concentrations, the conversion factor is

significantly different than from 10,000 because of the density differences between ethanol and water) (Araujo *et al.*, 1998).

Ingram and Buttke (1984) conducted a thorough literature review on the effects of alcohol on microorganisms. Disruption of the cellular permeability barrier is thought to be the basis of bacterial killing by high concentrations of alcohols (Brusseau, 1993; Ingram and Buttke, 1984; Harold, 1970). Ethanol concentrations above 100,000 mg/L result in the immediate inactivation of most vegetative organisms although spore-forming organisms are more resistant (Dagley *et al.*, 1950; Hugo, 1967). Most bacteria exhibit a dose-dependent inhibition of growth over the range of 10,000 to 100,000 mg/L; and very few species can grow at ethanol concentrations higher than 100,000 mg/L (Ingram and Buttke, 1984).

The toxicity of alcohols is related to their chain length and hydrophobicity (Harold, 1970; Hugo, 1967). Longer-chain alcohols, such as octanol, up to a chain length of around 10 carbon atoms, are much more potent inhibitors than are the shorter-chain alcohols, such as ethanol. This is attributed to the fact that alcohols have two basic functional groups, namely, a hydroxyl function and a hydrocarbon tail. Ethanol is very polar and partitions poorly into the hydrophobic cell membrane (Figure 3-8). In contrast, the longer (hydrophobic) hydrocarbon tail of octanol favors its concentration within the membrane, which increases its toxicity. Thus, relatively high ethanol concentrations are required to cause lethal effects on biological systems (Ingram and Buttke, 1984).

Ethanol can exert a variety of biophysical effects on microorganisms. The basic actions of alcohols on prokaryotic organisms appear to be dominated by the physicochemical properties of alcohols rather than involving specific receptors. All hydrophobic and electrostatic interactions in the cytosolic and envelope components of cells can potentially be affected. These include cell membranes, conformations of enzymes and macromolecules, activity coefficients of metabolites, ionization potentials, pKa values of functional groups, and intracellular pH (Franks and Ives, 1966; Ingram and Buttke, 1984; Jukes and Schmidt, 1934; Yaacobi and Ben-Naim, 1974). High ethanol concentrations can also inhibit the synthesis of various organelles, including the cell wall (Blumberg and Strominger, 1974), RNA (Mitchell and Lucas-Leonard, 1980), DNA (Osztovics *et al.*, 1981), and proteins (Haseltine *et al.*, 1972). Ethanol itself is not mutagenic. However, acetaldehyde, which is a metabolite of aerobic ethanol degradation, increases cell mutation rates (Igali and Gazsó, 1980).

Ethanol has also been reported to adversely affect the activity of some critical enzymes. Addition of low ethanol at 3350 mg/L did not cause a significant inhibition of the Na<sup>+</sup>, K<sup>+</sup>-dependent ATPase, NADH oxidase or D-lactate oxidase (Eaton *et al.*, 1982). However, 8500-mg/L ethanol inhibited these enzymes, with ATPase being the most resistant enzyme examined (Eaton *et al.*, 1982). In contrast, succinate dehydrogenase, part of the Krebs cycle, is more sensitive, showing 20% inhibition with 3350-mg/L ethanol and 50% inhibition with 8500-mg/L ethanol. Transport systems are uniformly more sensitive to inhibition by ethanol. The lactose permease system exhibits a dose-dependent inhibition with increasing concentrations of ethanol (Ingram *et al.*, 1980). Uptake of glutamate, proline, leucine, and the lactose permease was reduced by 10–30% with 3350-mg/L (0.335% w/w) ethanol and by 60–80% with 8500-mg/L (0.85% w/w) ethanol (Eaton *et al.*, 1982). However, inhibition of both the membrane-bound enzymes and transport systems was substantially relieved after alcohol was removed by washing.

Bringmann and Kuhn (1980) developed a cell multiplication test to characterize the inhibitory effect of common water pollutants. This turbidimetric test estimates the concentration at which the inhibitory action of a pollutant starts. The toxicity threshold is taken as the pollutant concentration that yields a biomass concentration that is at least 3% below the mean value of extinction for nontoxic dilutions of the same test culture. This test was applied to the model organism *P. putida*, which is a common BTEX degrader in the subsurface environment. Table 3-4 compares the toxicity thresholds for several pollutants that could be a involved in a gasoline spill. Based on this study, it can be concluded that indigenous microorganisms are more resistant to high ethanol concentrations than to high BTEX and other fuel constituent concentrations.

#### 3.4.2. Indirect (Environmental) Effects

#### 3.4.2.1. Depletion of Nutrients and Electron Acceptors

Ethanol in groundwater constitutes a significant biochemical oxygen demand compared to that exerted by other soluble components of gasoline, and it is likely to accelerate the depletion of dissolved oxygen (Corseuil *et al.*, 1998). This would decrease the extent of aerobic BTEX degradation in oxygen-limited aquifers. Such an effect is particularly important for the fate of benzene, which is the most toxic of the BTEX and degrades slowly under anaerobic conditions or not at all (Alvarez and Vogel, 1995; Anderson *et al.*, 1998; Weiner and Lovley, 1998a).

Anaerobic processes are believed to play a major role in containing and removing petroleum-product releases at sites undergoing natural attenuation, where engineered oxygen addition is uncommon (Rifai *et al.*, 1995; Corseuil *et al.*, 1998). Because ethanol can be degraded under all common electron-acceptor conditions, it can also contribute to the consumption of dissolved electron acceptors needed for anaerobic BTEX biodegradation (for example, ferric iron and sulfate). Therefore, depending on aquifer chemistry and the rate of natural replenishment of electron acceptors, ethanol could impede natural attenuation of BTEX compounds by contributing to the depletion of the electron-acceptor pool.

The extent to which ethanol is likely to cause the depletion of nutrients and electron acceptors has not been evaluated at the field scale. Nevertheless, a relevant field study was conducted with methanol, which is likely to cause similar effects as ethanol. Barker *et al.* (1992) conducted experiments involving controlled releases of BTEX and methanol mixtures at the Borden site in Canada. At the end of the 476-day experiment, they observed that a greater mass of BTEX remained in the plume from the gasoline with methanol than in the plume from just gasoline. They attributed this effect to oxygen removal by methanol biodegradation as well as to microbial inhibition due to high methanol concentrations.

#### 3.4.2.2. Accumulation of Volatile Fatty Acids

As discussed previously, the degradation of ethanol by mixed anaerobic cultures can result in the production of VFAs, such as acetic, propionic, and butyric acid. In the absence of adequate interspecies hydrogen gas transfer, such VFAs can accumulate and decrease the pH (Lasko *et al.*, 1997; Speece, 1983). This could inhibit some microbial populations and would be particularly detrimental to methanogens, which are usually the most sensitive group of anaerobic consortia. Methanogens are generally inhibited when the pH decreases below 6 (McCarty, 1964). Because

methanogens often mediate the final pollutant-stabilization step in the absence of nitrate- and sulfate-based respiration (Section 3.3.1.2.1), an inhibition of methanogens could adversely affect anaerobic BTEX mineralization.

It should be pointed out that methanogens are not significantly inhibited by VFAs in well-buffered systems. For example, methanogens are often exposed up to 2000 mg/L VFAs in anaerobic digesters (McCarty, 1964). Other bacteria, however, might be inhibited by high VFA concentrations, even if the pH does not decrease significantly. For example, protein production by *E. coli* at pH 7 is inhibited by acetate at about 2400 mg/L, especially in the case of expression of recombinant proteins, and growth is retarded at 6000 mg/L total acetate (Lasko *et al.*, 1997; Sun *et al.*, 1993).

It is unknown whether VFAs would accumulate in aquifers contaminated with alcohol-amended gasoline at sufficiently high concentrations to significantly decrease the pH and inhibit BTEX degradation. Such effects are likely to be system specific due to variability in buffering and dilution capacity among contaminated sites. It should be kept in mind, however, that VFAs are easily degraded and should not accumulate at high concentrations when alternative electron acceptors, such as nitrate, sulfate, and ferric iron, are present.

#### 3.4.2.3. Bioavailability

BTEX bioavailability is rarely a limiting factor. However, ethanol might affect the availability of critical nutrients and co-substrates needed for BTEX bioremediation. As discussed in Section 3.4.1.3, ethanol exerts a significant biochemical demand for nutrients and electron acceptors. In addition, BTEX migration is often retarded by sorption to aquifer solids. If significant retardation is occurring, dissolved oxygen and other nutrients and electron acceptors traveling at the groundwater velocity can sweep over the contaminant plume from the upgradient margin. This can replenish nutrients and electron acceptors needed for *in situ* BTEX biodegradation. In theory, ethanol could decrease the extent to which BTEX compounds are retarded by sorption. Indeed, evidence suggests that ethanol can affect the sorptive properties of soil organic matter (Brusseau *et al.*, 1991; Kimble and Chin, 1994). A decrease in BTEX retardation would hinder the ability of essential nutrients and electron acceptors transported by bulk flow to catch up with the migrating BTEX compounds. In addition, adsorption of a contaminant to the aquifer matrix increases dilution of the dissolved contaminant plume, which is a process that might also be affected.

#### 3.4.2.4. Impact of Microbial Processes on Aquifer Permeability

Depending on aquifer chemistry and redox conditions, ethanol could stimulate microbial processes that affect the hydrodynamic properties of the aquifer. For example, fuel-grade ethanol would stimulate microbial growth. Therefore, the formation of cell aggregates and biofilms that reduce the available pore space is a potential clogging mechanism of concern (Taylor and Jaffe, 1990; Vandevivere and Baveye, 1992). In theory, microorganisms could also affect aquifer permeability by contributing to mineral dissolution (for example, CaCO<sub>3</sub>) or precipitation (for example, FeS). A combination of excessive microbial growth and mineral precipitation could result in a significant reduction in porosity and permeability over a longer period.

An important mechanism by which microorganisms could reduce the effective porosity is the production of gas bubbles that increase the pressure and restrict water flow (Soares *et al.*, 1988, 1989, and 1991). Controlled experiments that address the significance and extent of such phenomena for ethanol contamination are lacking. Therefore, their potential impact is discussed below from a theoretical point of view.

The overall stoichiometry of methanogenesis from ethanol is given by:

$$CH_3CH_2OH$$
 1.5  $CH_4 + 0.5 CO_2$ 

Thus,

Potential methane production = 
$$1.5 \times \frac{16g - CH_4/mol}{46g - ethanol/mol} = 0.5217 \frac{g - CH_4}{g - ethanol}$$

Based on the ideal gas law, and assuming a typical groundwater temperature of 15°C, the volume of methane produced at 1 atm from 1 gram of ethanol is

$$0.5217 \frac{\text{g} - \text{CH}_4}{\text{g} - \text{ethanol}} \times \frac{1 \text{ mol CH}_4}{16 \text{ gram}} \times \frac{22.4 \text{ liters}}{\text{mole (at STP)}} \times \frac{273 + 15 \text{K}}{273 \text{K}} = 0.77 \frac{\text{liters CH}_4}{\text{gram - ethanol}}$$

As discussed previously, a 1000-mg/L ethanol concentration is generally not toxic to methanogenic consortia. This concentration could produce up to 0.77 L of methane within a 1-L pore volume. This is likely to increase the pressure and could result in some bubble formation that could restrict groundwater flow. Such a reduction in aquifer permeability could also hinder the replenishment of nutrients and electron acceptors by natural or engineered processes into the contaminated zone. Whether sufficient methane would accumulate to create an explosion hazard is unknown.

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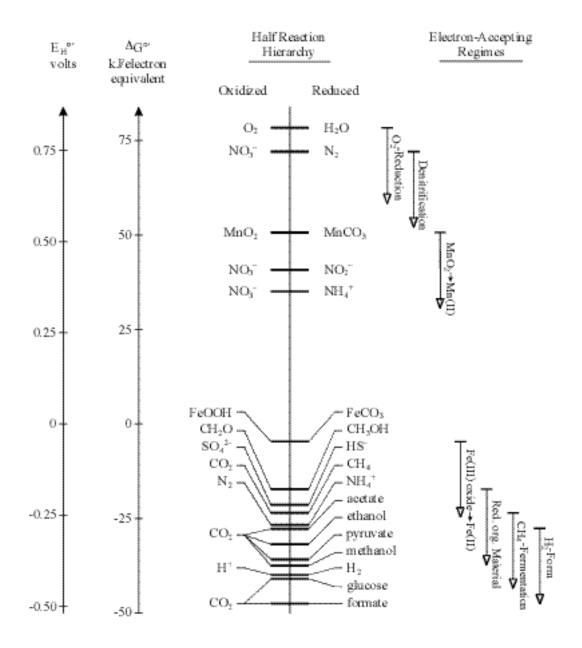
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### **Figures**



**Figure 3-1. Free energy diagram for common electron acceptors and donors.** The hierarchy of electron acceptors provides a simple means to integrate thermodynamics, microbiology, and physiology of oxidation-reduction reactions.  $E_{H}^{o'}$  is the equilibrium redox potential and  $G^{o'}$  is the half-reaction free energy. These values are for unit activities of oxidant and reductant in water with a pH of 7.0 (adapted from Zehnder and Stumm, 1988).

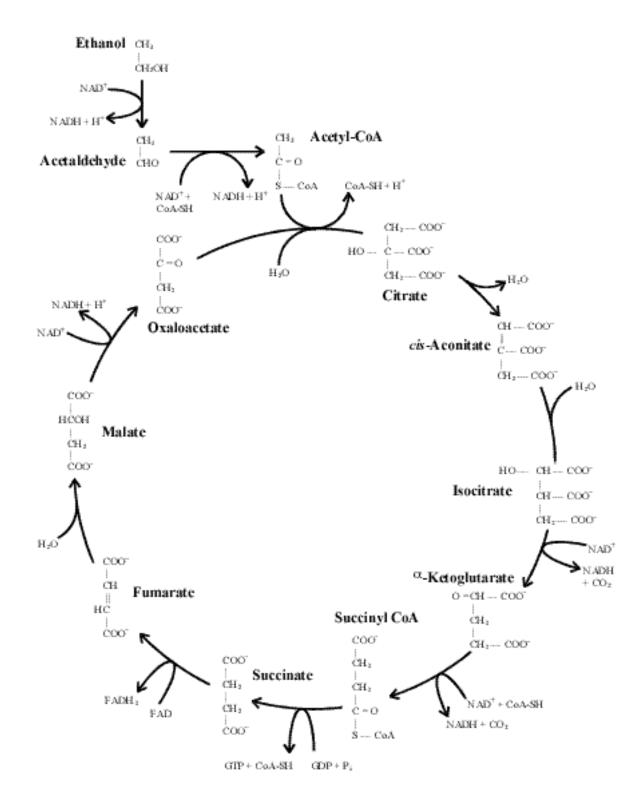


Figure 3-2. Ethanol degradation through Krebs cycle (adapted from Stryer, 1988).

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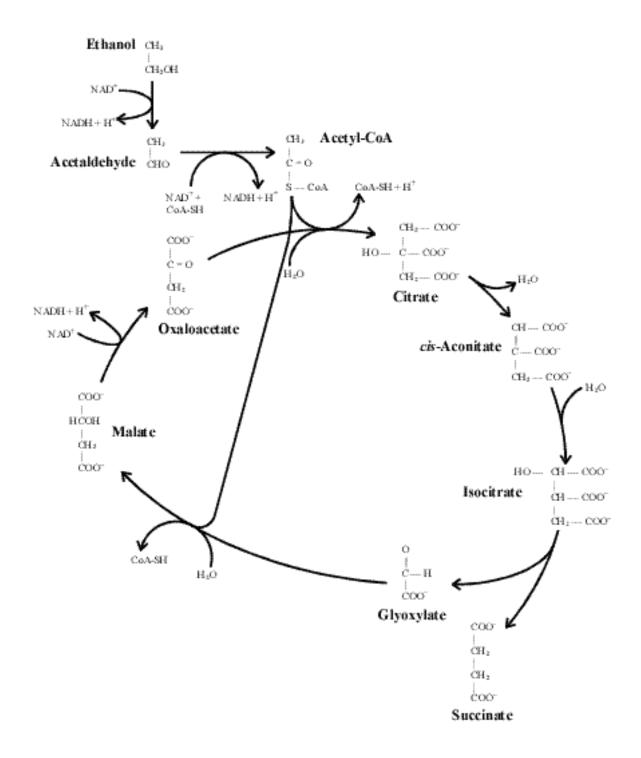


Figure 3-3. Ethanol degradation by the glyoxylate shunt (adapted from Stryer, 1988).

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carbohydrates, amino acids, purines, pyrimidines, etc.

First Stage: fermenters

organic acids, alcohols, H2, CO2

Second Stage: obligate protonreducers, sulfate-reducers,

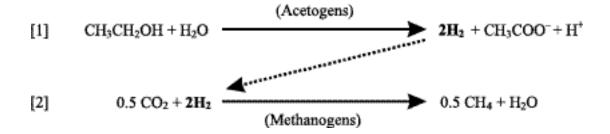
acetogens

acetate, H<sub>2</sub>, CO<sub>2</sub>

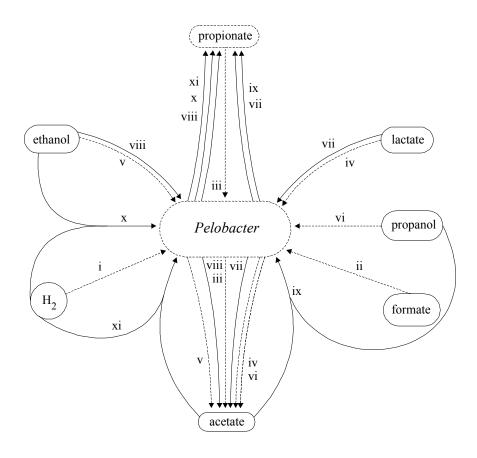
Third Stage: methanogens

CH<sub>4</sub>, CO<sub>2</sub>

Figure 3-4. The anaerobic food chain (modified from White, 1995).

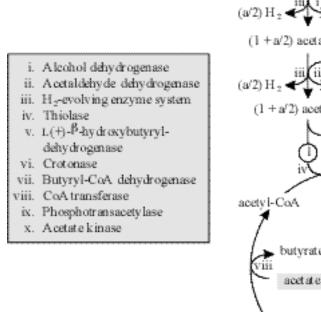


**Figure 3-5. Interspecies hydrogen transfer**. Anaerobic oxidation of ethanol to acetate [1] is not thermodynamically feasible under standard conditions ( $G'_0 = +9.6 \text{ kJ}$ ). This reaction can proceed only if the hydrogen ( $H_2$ ) produced by acetogens and other fermenters is removed (law of mass action). The removal of  $H_2$  by hydrogenotrophic methanogens [2] or sulfate reducers enhances the thermodynamic feasibility of acetogenesis and the subsequent mineralization of acetate by acetoclastic methanogens and (Type II) sulfate reducers. Thus, interspecies  $H_2$  transfer prevents the accumulation of fermentation products and enhances anaerobic mineralization.



**Figure 3-6. Propionate formation during ethanol fermentation by** *P. propionicus* (Adapted from Laanbroek *et al.*, 1982). *Broken lines*: conversions in the presence of sulfate; *solid lines*: conversions in the absence of sulfate. The numbers correspond with the following reactions:

```
\begin{array}{lll} i \cdot H_{2} + \frac{1}{4}H^{+} + \frac{1}{4}SO_{4}^{2-} & \frac{1}{4}HS^{-} + H_{2}O \\ ii \cdot formate^{-} + \frac{1}{4}H^{+} + \frac{1}{4}SO_{4}^{2-} & HCO_{3}^{-} + \frac{1}{4}HS^{-} \\ iii \cdot proprionate^{-} + \frac{3}{4}SO_{4}^{2-} & acetate^{-} + HCO_{3}^{-} + \frac{3}{4}HS^{-} + \frac{1}{4}H^{+} \\ iv \cdot lactate^{-} + \frac{1}{2}SO_{4}^{2-} & acetate^{-} + HCO_{3}^{-} + \frac{1}{2}HS^{-} + \frac{1}{2}H^{+} \\ v \cdot ethanol + \frac{1}{2}SO_{4}^{2-} & acetate^{-} + \frac{1}{2}HS^{-} + \frac{1}{2}H^{+} + H_{2}O \\ v i \cdot lpropanol + \frac{5}{4}SO_{4}^{2-} & acetate^{-} + HCO_{3}^{-} + \frac{5}{4}HS^{-} + \frac{3}{4}H^{+} + H_{2}O \\ v ii \cdot lactate^{-} & \frac{1}{3}acetate^{-} + \frac{2}{3}proprionate^{-} + \frac{1}{3}HCO_{3}^{-} + \frac{1}{3}H^{+} \\ v iii \cdot ethanol + \frac{2}{3}HCO_{3}^{-} & \frac{1}{3}acetate^{-} + \frac{2}{3}proprionate^{-} + \frac{1}{3}H^{+} + H_{2}O \\ i \times . lpropanol + \frac{2}{3}acetate^{-} + \frac{2}{3}HCO_{3}^{-} & \frac{5}{3}proprionate^{-} + \frac{1}{3}H^{+} + H_{2}O \\ x \cdot ethanol + HCO_{3}^{-} + H_{2}^{-} & proprionate^{-} + 2H_{2}O \\ x \cdot acetate^{-} + HCO_{3}^{-} + H^{+} + 3H_{2}^{-} & proprionate^{-} + 3H_{2}O \\ \end{array}
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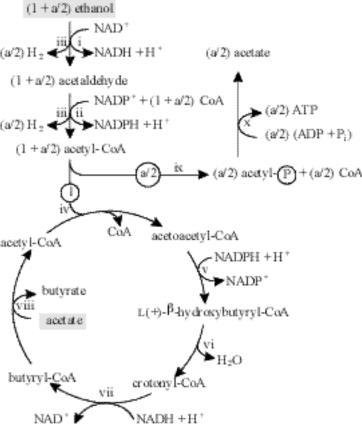


Figure 3-7. The ethanol-acetate fermentation of *C. kluyveri* (from Gottschalk, 1986).

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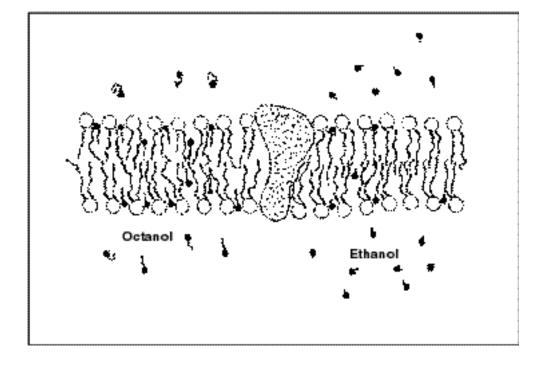


Figure 3-8. Model showing interactions of octanol and ethanol with a cell membrane (adapted from Widdel, 1986). Octanol is much more hydrophobic than ethanol and will partition into the membrane more favorably.

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### **Tables**

Table 3-1. Metabolites and end products of ethanol biodegradation.

Aerobic	Anaerobic
acetaldehyde	acetaldehyde
acetate	acetate
acetyl-Co A	butyric acid
carbon dioxide (CO <sub>2</sub> )	propionic acid
	hydrogen gas
	n-propanol
	acetone
	carbon dioxide (CO <sub>2</sub> )
	methane

Table 3-2. First-order rate coefficients ( $\lambda$ ) for anaerobic and aerobic degradation of ethanol by aquifer microorganisms.<sup>a</sup>

Electron acceptor	λ (day <sup>-1</sup> )	Half-life (days)
$O_2$	0.23-0.35	2-3
$NO_3^-$	0.53	1.3
$Fe^{3+}$	0.17	4
$SO_4^{-2}$	0.1	7
$CO_2$	0.12	6

a Estimated from laboratory experiments by Corseuil et al., 1998).

Table 3-3. Toxicity thresholds for a Pseudomonas putida.a

Compound	Concentration (mg/L)
ethanol	6,500
methanol	6,600
1-propanol	2,700
2-propanol	1,050
1-butanol	650
2-butanol	500
tertiary amyl alcohol	410
methyl ethyl ketone	1,150
acetic acid	2,850
n-butyric acid	875
benzene	92
toluene	29
ethylbenzene	12

a Source: Bringmann and Kuhn, 1980.